



ALCOHOL, DRUG & MENTAL HEALTH SERVICES
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DATE: May 17, 2017

TO: All ACBHCS Mental Health (MH) Providers

FROM: ACBHCS Quality Assurance (QA) Office

RE: 1.) Revised: Medi-Cal Lock-out Restrictions,
2.) ACBHCS Reasons for Audit Disallowances and Claims Recoupment,
3.) Provider Website FAQ's

TIMELINE: FOR IMMEDIATE ACTION

1.) ACBHCS Medi-Cal Lock-out Restrictions:

There are several significant changes to the latest Lock-Out Grid (v.5/17/17) from the prior 9/9/16 version. See attached ACBHCS' MH Medi-Cal Lock-Out Grid.

The instructions for deciding if the Specialty Mental Health Service (SMHS) that you wish to provide is locked-out to Medi-Cal claiming is as follows.

- a) Start by finding the specific name of the Mental Health (MH) Program/Residence/Agency in which the client is receiving services. Follow the restrictions indicated.
- b) If only a general category is given (without specific Program or Residence names) the category requirements may be utilized. (For example, for any hospitalization in a Physical Health Care Setting—you may follow the lock-out requirements for that category in general.)
- c) If specific names are provided in a grouping, (such as in one that begins with Villa Fairmont) but you cannot find the specific Program/Residence/Agency in which the client is currently receiving services—call or email your QA Technical Assistance Contact for guidance.

The most significant changes to the Lock-out Grid are listed below and highlighted in yellow in the attached Lock-out Grid.

- a) Intensive Care Coordination (ICC) and Intensive Home Based Services (IHBS) are now integrated into the Lock-out Grid.



- i. ICC services are considered “Case Management—Brokerage (C/M)” and fall within that category.
 - ii. IHBS services are considered a “MH Service” and fall within that category.
- b) Services are usually allowed (if indicated) on the day of discharge.
- c) CASE MANAGEMENT SERVICES, 30 DAYS PRIOR TO A PLANNED DISCHARGE FOR PLACEMENT PURPOSES, ARE ONLY ALLOWED WHEN THE CLIENT IS RECEIVING SERVICES IN THE FOLLOWING FACILITIES:
 - i. Willow Rock PHF (youth)
 - ii. John George Psychiatric Pavilion
 - iii. Alta-Bates Herrick
- d) FACILITIES THAT HAVE FALLEN OFF OF THE PRIOR LOCK-OUT GRID, WHERE CASE MANAGEMENT (AND OTHER Med & MH) SERVICES MAY ONLY BE PROVIDED ON THE DAY OF ADMISSION AND DISCHARGE ARE:
 - i. Villa Fairmont
 - ii. Gladman
 - iii. Morton Bakar

ACBHCS wishes to acknowledge that if your program was involved in the discharge planning for clients (30 days prior to a planned discharge) residing in Villa Fairmont, Gladman, or Morton Bakar that you will now NOT be able to claim for those services (except on the day of admission or discharge, OR if the client is < 21 yrs or > 64 yrs.). These facilities (as well as others listed & not listed in our Lock-out Grid) are now classified as “IMD’s” by DHCS [here](#) and therefore are subject to the “IMD Exclusion” regulations [Title 9 CCR § 1840.312(g) and CFR 42 Section 435.1009-10] *in which claiming for ALL Medication, MH, and C/M services are locked-out except for the day of (admission and) discharge OR if the client is less than 21 years, or older than 64 years, of age.*

2.) DHCS/ACBHCS Reasons for Recoupment

ACBHCS has updated the Guide: *DHCS Reasons for SMHS Recoupment: with ACBHCS Comments.* Changes from the prior version are highlighted in yellow. See attached.

QA will make both of the resources addressed above (Lock-out Grid and Reasons for Recoupment) available on the Provider Website. Please look for an upcoming announcement with links.

3.) SMHS FAQ’s on the Provider Website

A FAQ section will be added on the Provider Website under the QA tab- again look for an announcement that will provide links- but for the meantime please see the attached..

If there are any questions about the updated Lock-out Grid, the Reasons for Recoupment, or the FAQ's, your agency's Quality Assurance Coordinator may email their ACBHCS QA Technical Assistance contact for assistance as indicated below:

CBO's by Parent Agency Name, or County Clinic by Program Name	QA Technical Support Staff Member
All CBO's & Network Providers: A-I All Children's County Clinics and Programs	Cheryl Narvaez, LCSW Cheryl.Narvaez@ACgov.org
All CBO's & Network Providers: J-Z All Adult County Clinics and Programs	Brion Phipps, LCSW Brion.Phipps@ACgov.org